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Serial No. Atty. Docket No. Form PT 34298-122 U.S. Department of Commerce 10/068,812 Patent and Trademark Office Applicant: Richard J. Greff Information Disclosure Statement by Applicant Filed: 02/04/02 Group: 1615 (Use several sheets if necessary) **U.S. Patent Documents** Class Subclass Filing Date Init. Document No. Date Name 4/1897 581,235 Jρ Α Kenyon 3/1926 В 1,578,517 Hein 7/1937 C 2,086,580 Shirley 2,492,458 Bering, Jr. 12/1946 D Е 2,597,011 5/1952 MacMasters et al. 6/1954 F 2,680,442 Linzmayer 9/1956 G 2,761,446 Reed 2,814,294 11/1957 Н Figge 2/1959 I 2,824,092 Thompson J 11/64 3,157,524 Artandi K 3,724,465 Duchane Binard, et al. 1/77 L 4,000,741 7/80 M 4,211,323 Olsen 4,218,155 8/80 N Weidner 4/82 0 4,323,072 Rosenbluth, et al. 7/82 P 4,340,066 Shah Zuloowski 6/83 Q 4,390,018 5/85 R 4,515,637 Cioca S 5/86 4,587,969 Gillis T 4,588,395 5/86 Lemelson Ū 10/86 4,619,261 Guerriero V 10/86 4,619,913 Luck, et al. W Matukas 2/87 4,645,488 8/89 X 4,744,364 Kensey Y 4,790,819 12/88 Li, et al. Z 4,852,568 8/89 Kensey Αl 4,890,612 Kensey 1/90 4,900,303 2/90 **B**1 Lemelson 5/90 Cl 4,929,246 Sinofaky 6/90 DI 4,936,835 Haaga 4,950,234 8/90 E1 Fujioka et al. 4/91 5,007,895 Fl Burnett Examiner Date Considered Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

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U.S. Department of Commerce
Patent and Trademark Office

Information Disclosure Statement by Applicant

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Atty. Docket No.
34298-122

Applicant:
Richard J. Greff
Filed: 02/04/02

Group: 1615

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Atty. Docket No. Serial No. U.S. Department of Commerce 34298-122 10/068,812 Patent and Trademark Office Applicant: Information Disclosure Statement by Applicant Richard J. Greff Filed: 02/04/02 Group: 1615 (Use several sheets if necessary) **Foreign Documents** Translation Document No. Date Country Class Yes Init. Subclass No 0032826 07/81 EP J5 K5 0476178 3/92 EP 04/92 L5 0482350 EP 0557963 02/93 EP M5 EP 11/94 N5 0637431 UK O5 1509023 04/78 UK P5 1569660 Q5 1088709A 04/84 Russia 11/80 R5 782814 Russia 07/90 S5 2641692 France WO 91/12847 09/91 T5 PCT WO U5 WO 94/02072 WÕ V5 WO 96/08208 03/96 02/98 W5 WO 98/06346 X5 WO 99/66834 12/99 Y5 WO 94/28800 12/94 **Z**5 WO 95/28124 10/95 A6 WO 95/32671 12/95 WO 95/32669 12/95 **B6** C6 WO 96/24290 08/96 PCT. Date Considered Examiner

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Page 1 **SUB-Q 1449 US** Serial No. Atty. Docket No. U.S. Department of Commerce 034298-122 10/068.812 Patent and Trademark Offices Applicant: Richard J. Greff Information Disclosure Statement by Applicant Filed: 2/4/02 Group: 1615 (Use several sheets if necessary) U.S. Patent Documents Init. Document No. Date Class Subclass Date Name 3,358,689 John L. Higgins 12/19/67 3,411,505 P.D. Nobis 11/19/68 3,736,939 Glenn N. Taylor 6/5/73 4,098,728 Solomon Rosenblatt 7/4/78 4,219,026 Terry N. Layton 8/80 4,224,945 Johathan Cohen 4,405,314 Constantin Copi 9/20/83 4,699,616 George M. Norwak 10/13/87 4,832,688 Sagae, et al 5/23/89 4,836,204 Landymore et al 6/89 4,839,204 Yoshino 6/13/89 5,061,274 Kensey 10/91 5,049,138 Chevalier et al 9/17/91 5,129,889 John L. Hahn 7/14/92 5,192,290 Said Hilal 3/9/93 5,219,899 Panster et al 6/15/93 5,232,453 Plass et al 8/3/93 5,254,105 John Haaga 10/19/93 James Rudnick 5,320,639 6/14/94 5,342,388 Sonia Toller 8/30/94 5,350,399 Erlebacher et al 9/27/94 5,352,211 William Merskelly 10/4/94 5,447,502 John Haaga 9/5/95 5,458,570 James May, Jr 10/17/95 Keith Barawell 5,462,194 10/95 Abidin et al 5,545,175 8/13/96 5,571,168 Connie Toro 11/5/96 5,601,601 Tal et al 2/11/97

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Yage W Serial No. Atty. Docket No. 034298-122 10/068,812 U.S. Department of Commerce Patent and Trademark Office Applicant: Richard J. Greff Information Disclosure Statement by Applicant Filed: 2/4/02 Group: 1615 (Use several sheets if necessary) Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) **OUR PENDING APPLICATIONS** (125) Ashby, Mark et al; Serial No. 10/287,922; filed: Nov. 4, 2002; entitled: "Apparatus And Method For **Inhibiting Blood Loss** (130) Ashby, Mark et al; Serial No. 10/069,107; filed: Dec. 16, 2002; entitled: Device And Method For Determining A Depth Of An Incision" (144) Ashby, Mark et al; Serial No. 10/278,710; filed: Oct. 22, 2002; entitled: "System and Method for Facilitating Hemostasis of Blood Vessel Punctures With Absorbable Sponge" (152) Ashby, Mark et al; Serial No. 10/334,770; filed: Dec 31, 2002; entitled: "Improved System and Method for Facilitating Hemostasis with Absorbable Sponge" (154) Ashby, Mark et al; Serial No. 10/421,680; filed Apr. 22, 2003; entitled: "Puncture Closure System With Pin And Pull Technique" (159) Ashby, Mark et al; Serial No. 10/462,065; filed: Jun. 12, 2003; entitled: "Enhanced Bleed Back System" (160) Ashby, Mark et al, Serial No. 10/462,064; filed: Jun. 12, 2003; entitled: "Release Mechanism" (161) Ashby, Mark et al; Serial No. 10/461,587; filed: Jun. 12, 2003; entitled: "Dissolvable Closure Device" (162) Ashby, Mark et al; Serial No. 10/461,035; filed: Jun. 13, 2003; entitled: "System And Method For Delivering Hemostasis Promoting Material To A Blood Vessel Puncture Site Using a Cannula" (163) Ashby, Mark et al; Serial No. 10/461,006; filed: Jun. 13, 2003; entitled: "System and Method for Delivering Hemostasis Promoting Material to a Blood Vessel Puncture with a Staging Tube" (164) Ashby, Mark et al; Serial No. 10/460,859; filed: Jun. 12, 2003; entitled: "Hemostatic Device Including a Capsule" (187) Ashby, Mark et al; Serial No. 10/732,441; filed: Dec. 9, 2003; entitled: "Pledget-Handling System and Method for Delivering Hemostasis Promoting Material to a Blood Vessel Puncture Site By Fluid Pressure" (190) Ashby, Mark et al; Serial No. 10/754,824; filed: Jan. 9, 2004; entitled: "Sheath-Mounted Arterial Plug Delivery Device"

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